

Finding a Bounded-Degree **Expander** Inside a Dense One

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Joint work with L. Becchetti, A. Clementi,
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13 March 2019

Outline

- Definitions: Graph Expansion
- Motivation for this work
- Our Results
- Crash Course on Encoding Arguments
- Some Proof Ideas

Graph Expansion I

What is a good measure of *connectedness* for a set of nodes S ?

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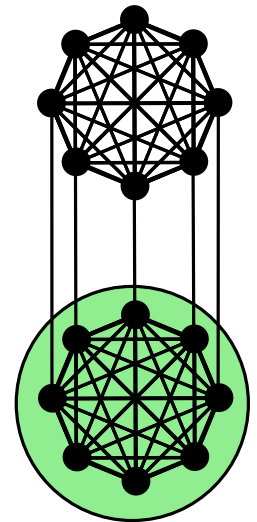
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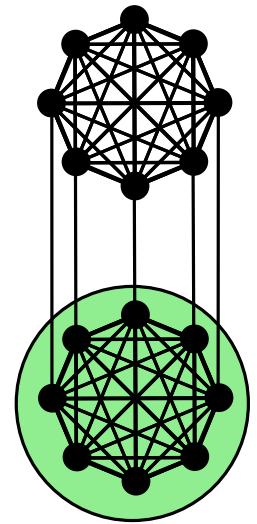
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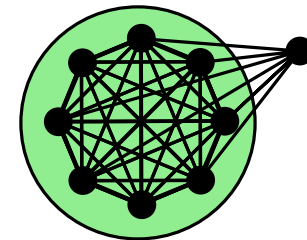
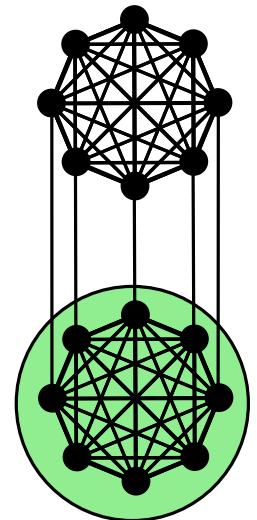
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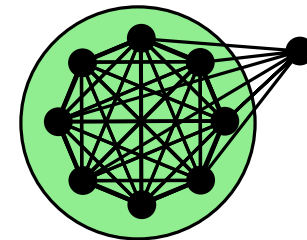
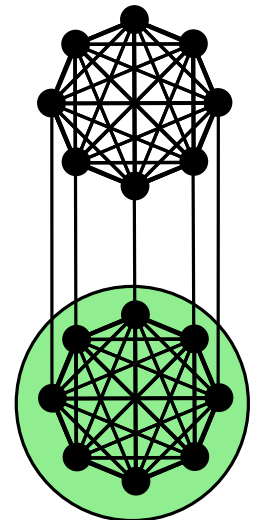
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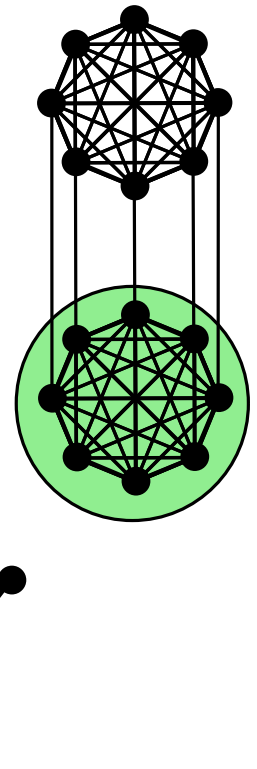
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Examples: Giakkoupis et al. JACM'18 and Giakkoupis SODA'14 for another expansion measure.



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In regular graphs $\frac{e(S, V-S)}{\min\{vol(S), vol(V-S)\}}$ is equivalent to
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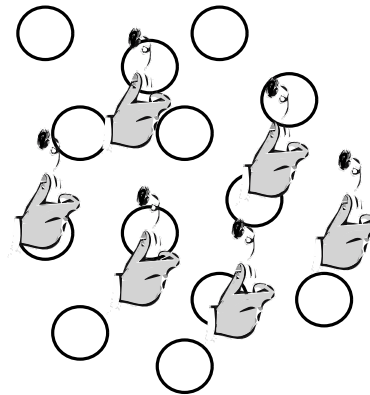
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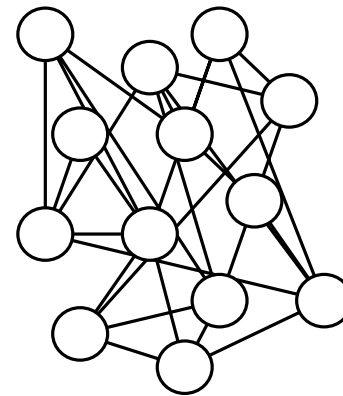
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For any $p \gg \frac{\log n}{n}$, they are good expanders with high probability.



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Expanders can be studied using **linear algebra**
(*Spectral Graph Theory*)

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Proof. A adjacency matrix, 1_S indicator vector of S , J all-1 matrix. We observe

$$2e(S, S) = 1_S^T A 1_S \text{ and } 1_S^T \left(\frac{\Delta}{n} J \right) 1_S = \frac{\Delta}{n} |S|$$

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Hence

$$2e(S, S) - \frac{\Delta}{n} |S| = 1_S^T \left(A - \frac{\Delta}{n} J \right) 1_S \leq \lambda \|1_S\|^2 = \lambda |S|$$



λ is the largest eigenvalue

Motivations for this Work I

Distributed construction of constant-degree expanders

- Corollary of Marcus-Spielman-Srivastava proof's of the Kadison-Singer conjecture [Ann. of Math. '15]:
Every dense expander has a *constant-degree subgraph* which is also an expander.

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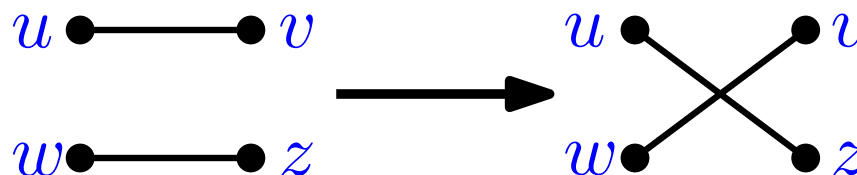
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- Several works propose complicated distributed construction of expanders:
 - Law and Siu [INFOCOM'03]: incremental construction using Hamiltonian cycles
 - Allen-Zhu et al. [SODA'16]: start with a $\Omega(\log n)$ -regular graph and increase its expansion

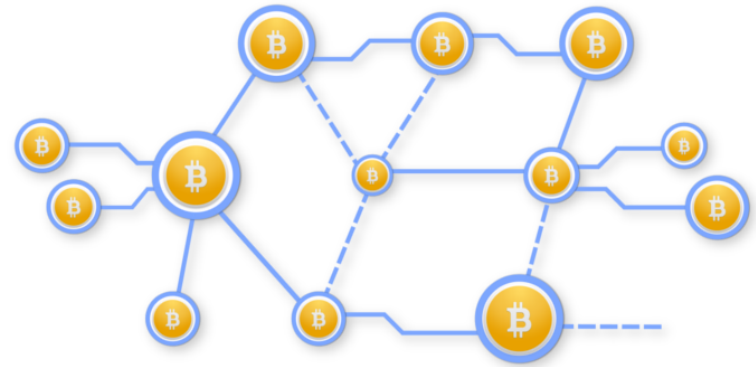


Bonus Motivation II

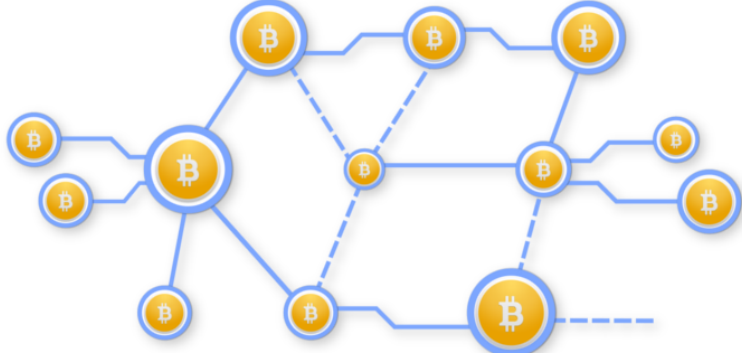
- Parallel algorithms for *sparsifying* a graph don't achieve sublogarithmic degree and assume weighted edges

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- Parallel algorithms for *sparsifying* a graph don't achieve sublogarithmic degree and assume weighted edges
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Bonus Motivation II

- Parallel algorithms for *sparsifying* a graph don't achieve sublogarithmic degree and assume weighted edges
- Model the way nodes create bounded-degree overlay networks in real distributed protocols, such as in peer-to-peer protocols (BitTorrent) or in distributed ledger protocols (Bitcoin)
- A distributed construction of a constant-degree graph implies a *constant-load balancing* algorithm. Previous works obtain almost-tight load balancing in polynomial time (Berenbrink et al., SPAA'14)

Our Algorithm: RAES

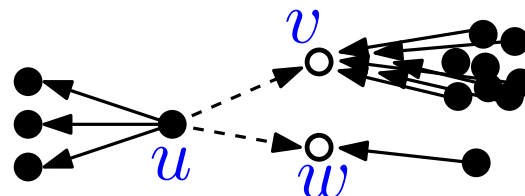
Algorithm 1 RAES(G, d, c)

```

1:  $H :=$  empty directed graph over the node set  $V$ 
2: while  $H$  has nodes of outdegree  $< d$  do
3:   PHASE 1:  $\triangleright d_v^{\text{out}}$ : current outdegree of  $v$  in  $H$ 
4:   for each node  $v \in V$  do
5:      $v \in V$  picks  $d - d_v^{\text{out}}$  neighbors in  $G$  uniformly at random
6:      $v$  submits a connection request to each of them
7:   end for
8:   PHASE 2:  $\triangleright d_v^{\text{in}}$ : current indegree of  $v$  in  $H$ 
9:   for each node  $v \in V$  do
10:    if  $v$  received  $\leq cd - d_v^{\text{in}}$  connection requests in the previous phase then
11:       $v$  accepts all of them and the corresponding directed edges are added to  $H$ 
12:    else
13:       $v$  rejects all connection requests received in Phase 1
14:    end if
15:  end for
16: end while
17: Replace each directed edge by an undirected one
18: return  $H$ 

```

Example
with $d = 5$



u is missing 2 connections.
 u asks to connect to v and w .
 v has already cd incoming connections
 and refuses u 's requests.

Our Result

Theorem.

For every $d \gg 1$, $0 < \alpha \leq 1$, $c \gg \frac{1}{\alpha^2}$, and αn -regular graph G , w.h.p. $\text{RAES}(G, d, c)$ runs in $\mathcal{O}(\log n)$ parallel rounds with message complexity is $\mathcal{O}(n)$.

Moreover, if G 's 2nd-largest eigenvalue λ of normalized adjacency matrix is $\leq \epsilon \alpha^2$, then w.h.p. $\text{RAES}(G, d, c)$ creates a ϵ -expander with degrees between d and $d(c + 1)$.

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Proof Technique: *Encoding Argument*

(omitted: message complexity using martingale theory)

Encoding Arguments

Encoding Lemma.

If X finite set and
 $C : X \rightarrow \{0, 1\}^*$ a (partial &
prefix-free) encoding of X then

$$\Pr_{x \sim \text{Unif}(X)} (|C(x)| \leq \log |X| - s) \leq 2^{-s}$$



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Suggested reading: P. Morin et al. *Encoding Arguments*, ACM Comp. Surveys '17.



Encoding Argument Example

Flip a coin n times: 0110010...

Probability of $\log n + s$ consecutive heads?

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Call B a *bad substring* of $\log n + s$ consecutive heads.
Consider encoding C_B for strings containing B :

(index i of first	,	all other bits of the string except those at)
	bit of B		entry $i, i + 1, \dots, i + \log n + s$	
	$\log n$ bits		$n - (\log n + s)$ bits	

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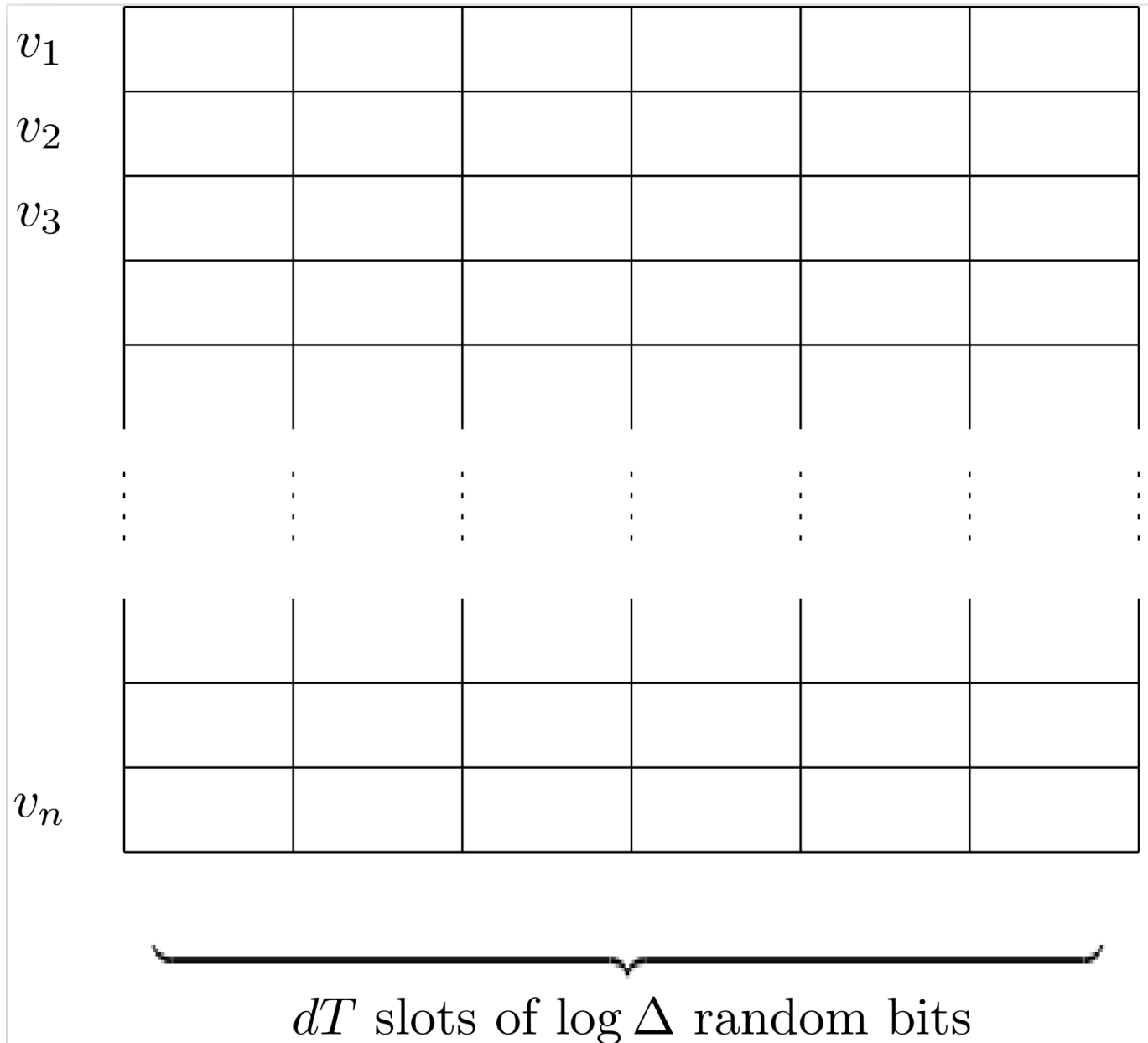
By the Encoding Lemma

$$\Pr(|C_B(x)| \leq \log |X| - s) = \Pr(|C_B(x)| \leq n - s) \leq 2^{-s}$$

Encoding Arg. for Running Time (Warm Up)

Implementation:
For each node v_i , array of dT entries of $\log \Delta$ bits

If RAES doesn't terminate in $O(\log n)$ rounds there exist node v with a rejected request at each round



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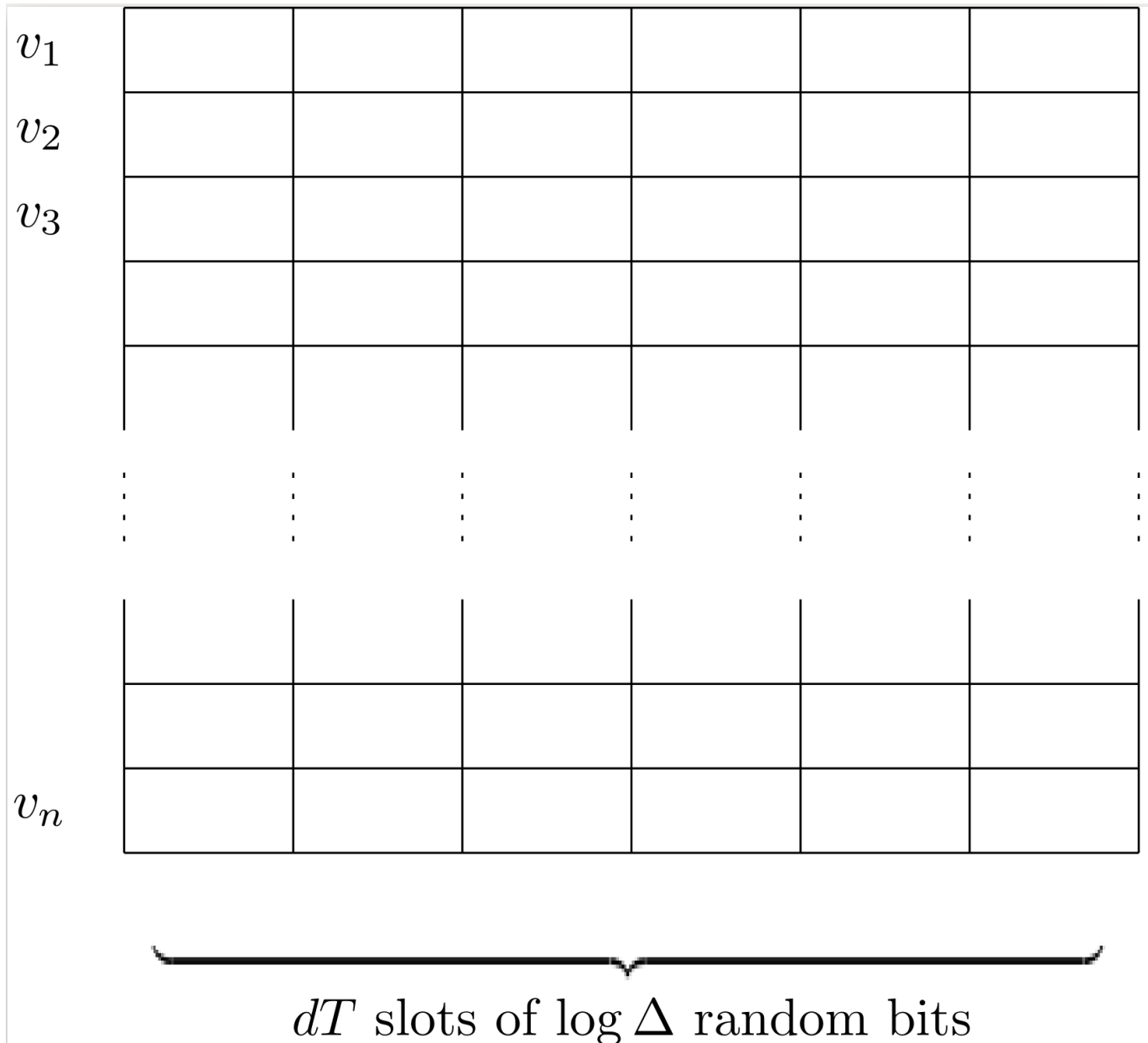


After calculations we see that we save
 $\frac{1}{2} \ell_v \log(\alpha c) - \log n = \Omega(\log n)$

Encoding Argument for Expansion

Implementation:
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We show that if the execution results in a non-expander, then it can be represented with $ndt \log \Delta - \Omega(\log n)$ bits



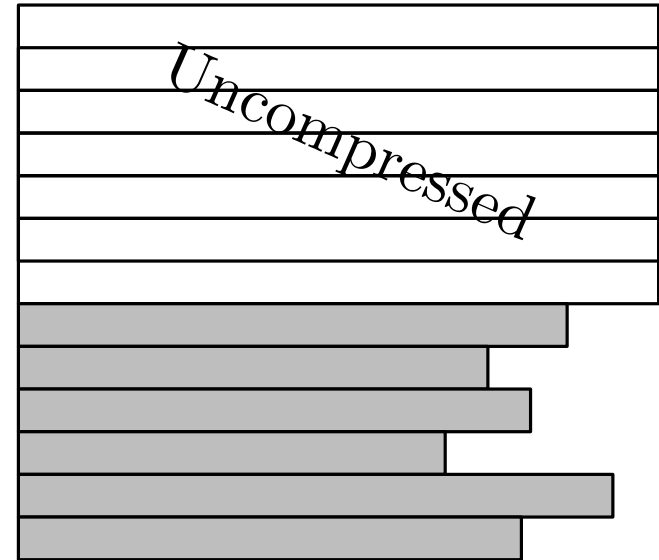
Compressing the Non-Expanding Set

Encoding:

- Randomness of $V - S$
- Set S : $\log |S| + \log \binom{n}{s}$

Nodes in
 $V - S$

Nodes
in S



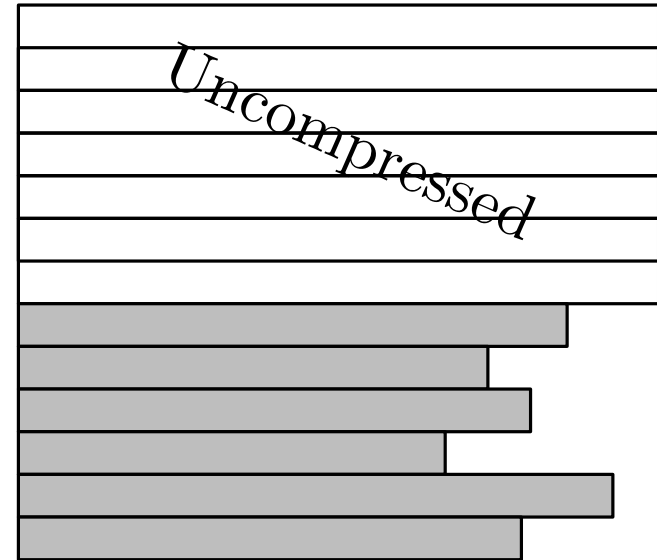
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- Accepted connections:
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Nodes in
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Nodes
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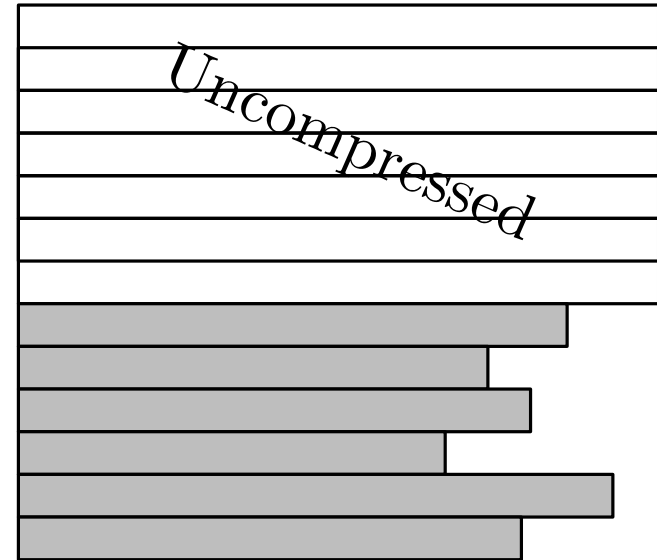
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 ϵ_v : fraction of v 's accepted connections towards $V - S$

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ϵ_v : fraction of v 's accepted connections towards $V - S$

- Destinations of connections from S :

$$\sum_{v \in S} (1 - \epsilon_v) d \log((1 - \delta_v) \Delta) + \sum_{v \in S} \epsilon_v d \log \Delta$$

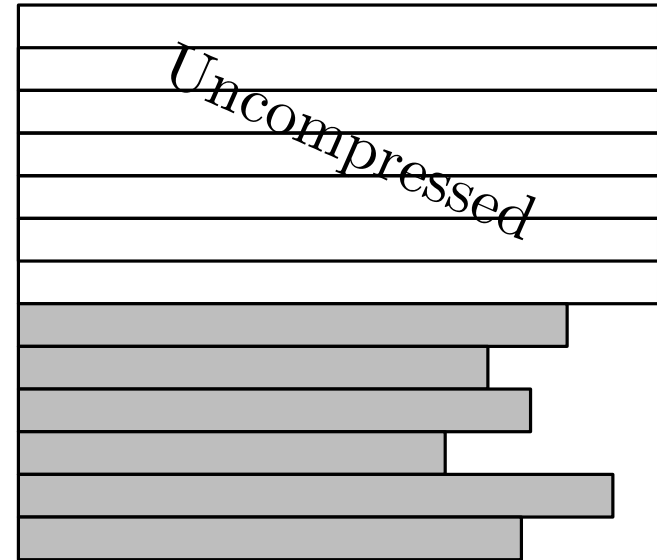
connections to S

connections to $V - S$ (uncompressed)

δ_v : fraction of v 's edges towards $V - S$ in G

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Nodes
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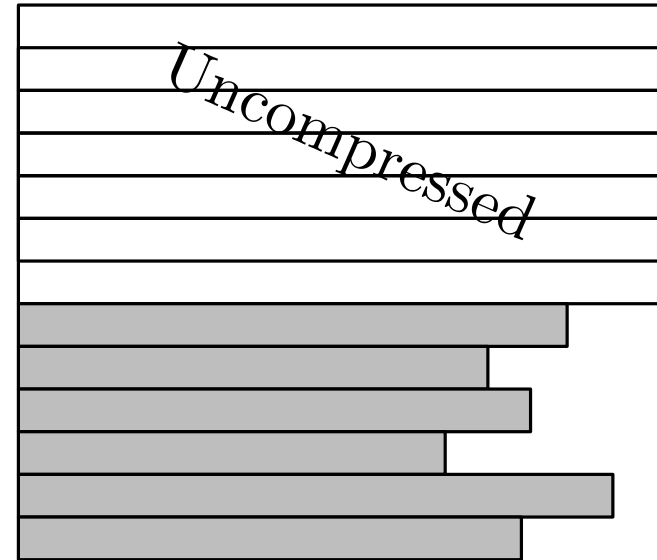
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connections to $V - S$ (uncompressed)
- **Rejected requests**

Nodes in
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Nodes
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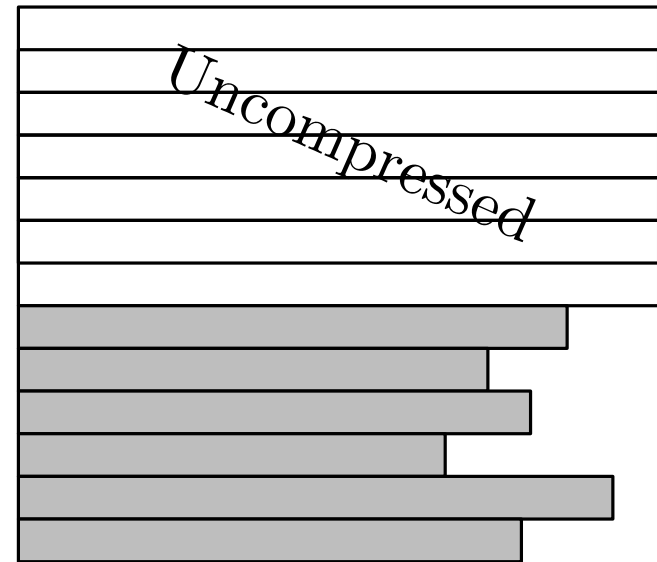
connections to $V - S$ (uncompressed)

- **Rejected requests**

- Unused randomness
(after node's termination)

Nodes in
 $V - S$

Nodes
in S



δ_v : fraction of v 's edges
towards $V - S$ in G

Compressing the Non-Expanding Set

Encoding:

- Randomness of $V - S$
- Set S : $\log |S| + \log \binom{n}{s}$

- Accepted connections:
 $\sum_{v \in S} 2 \log \ell_v + \log \binom{\ell_v}{d}$

- Accepted connections from S to $V - S$: $\sum_{v \in S} 2 \log(\epsilon_v d) + \log \binom{d}{\epsilon_v d}$

ϵ_v : fraction of v 's accepted connections towards $V - S$

- Destinations of connections from S :

$$\sum_{v \in S} (1 - \epsilon_v) d \log((1 - \delta_v) \Delta) + \sum_{v \in S} \epsilon_v d \log \Delta$$

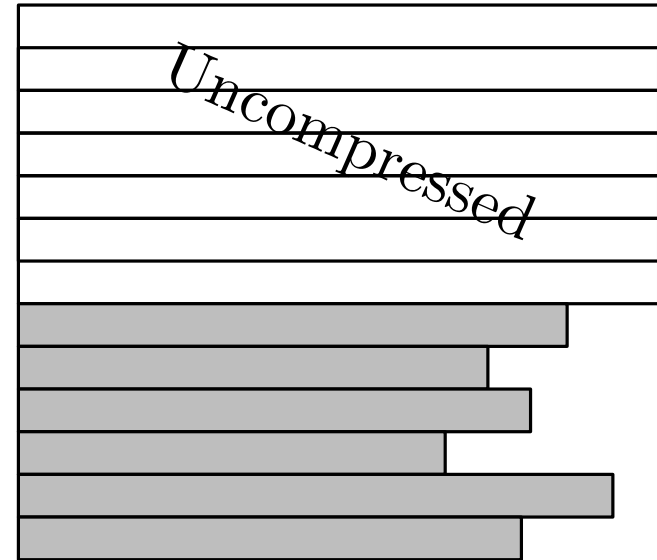
connections to S connections to $V - S$ (uncompressed)

- **Rejected requests**

- Unused randomness
(after node's termination)

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Compressing Accepted Connections I

To represent accepted requests from S we need

$$\sum_{v \in S} (1 - \epsilon_v) d \log((1 - \delta_v) \Delta) + \sum_{v \in S} \epsilon_v d \log \Delta$$
$$\leq sd \log \Delta - \frac{1 - \epsilon}{2} sd \log \frac{n}{s} + 2\epsilon ds$$

where $\epsilon = \frac{1}{s} \sum_{v \in S} \epsilon_v$

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With simple calculations

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Two cases: $s < \alpha \Delta$ and $\alpha \Delta \leq s \leq \frac{n}{2} \dots$

Compressing Accepted Connections II

Goal: bound $d \sum_{v \in S} (1 - \epsilon_v) \log \frac{1}{1 - \delta_v}$

Case $s < \alpha \Delta$

Use $\Delta(1 - \delta_v) \leq s$ and $(\frac{\Delta}{s})^2 > \frac{\Delta}{s} \frac{1}{\alpha} = \frac{\Delta}{s} \frac{n}{\Delta} = \frac{n}{s}$

hence $d \sum_{v \in S} (1 - \epsilon_v) \log \frac{1}{1 - \delta_v} > \frac{1 - \epsilon}{2} s d \log \frac{n}{s}$

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Case $\alpha \Delta \leq s \leq \frac{n}{2}$

Rewrite $-(1 - \epsilon) s d \sum_{v \in S} \frac{1 - \epsilon_v}{(1 - \epsilon)s} \log \frac{1}{1 - \delta_v}$

use Jensen's inequality to get $(1 - \epsilon) s d \log \frac{1 - \epsilon}{1 - \delta}$

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To bound $1 - \delta$ we use the **Expander Mixing Lemma**:

$$(1 - \delta) \leq \frac{s}{n} + \lambda$$

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To bound $1 - \delta$ we use the **Expander Mixing Lemma**:

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together with hypothesis on s and λ , it implies

$$(1 - \epsilon) s d \log \frac{1 - \epsilon}{1 - \delta} > (1 - \epsilon) s d \log \frac{n}{s} - 2\epsilon d s$$

Compressing the Non-Expanding Set

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connections to S

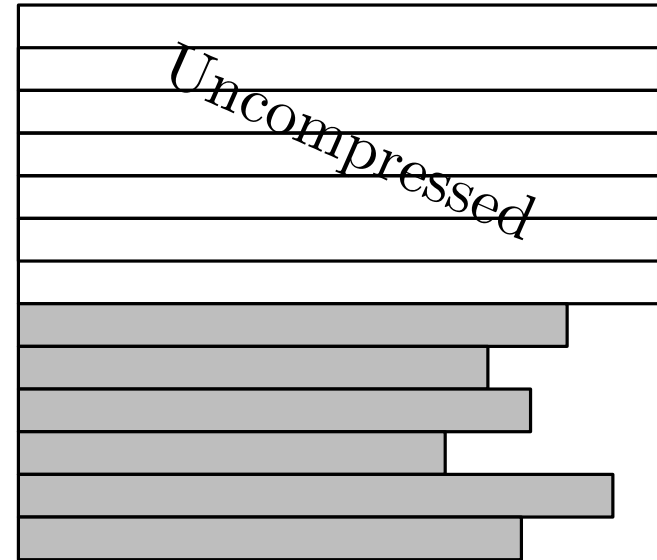
connections to $V - S$ (uncompressed)

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Nodes in
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Compressing Rejected Requests (Idea)

With $\ell_v - d'$ bits we encode which requests are rejected.

The hard part is compressing their *destinations*, for which we use the following notions:

Semi-saturated nodes ss_t : accepted connections until time $t - 1$ + requests from $V - S$ are $> \frac{dc}{2}$

Critical nodes c_t : not semi-saturated at time t but accepted + rejected connections are $> cd$

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We can then write

$$ss(v) \log \frac{2n}{c} + \sum_1^T rc_t(v) \log c_t$$

Where $rss(v)$ is the number of rejected connections from v to semisaturated nodes and $rc_t(v)$ is the number of rejected connections from v to critical nodes at time t

Compression Summary

Set S

Size	Index of the set
------	------------------

$$2 \log |S| + \log \binom{n}{|S|}$$

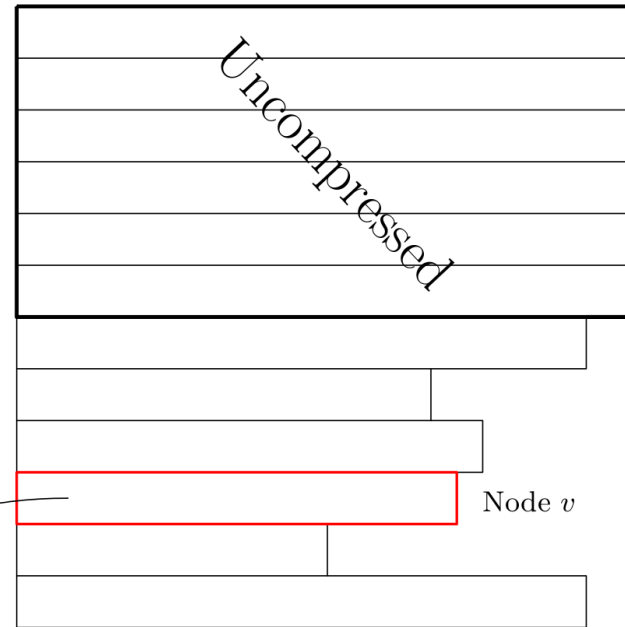
Critical Nodes

Sizes	Indices of sets
-------	-----------------

$$\sum_{t=1}^T \left[\log c_t + \log \binom{n}{c_t} \right]$$

Nodes in $V \setminus S$

Nodes in S



9 bronze badges

Subset of accepted requests	Subset of accepted requests in S	Destinations of accepted requests outside S (uncompressed) + + inside S (compressed)	Destinations of rejected requests
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$$2 \log \ell_v + \log \binom{\ell_v}{d}$$

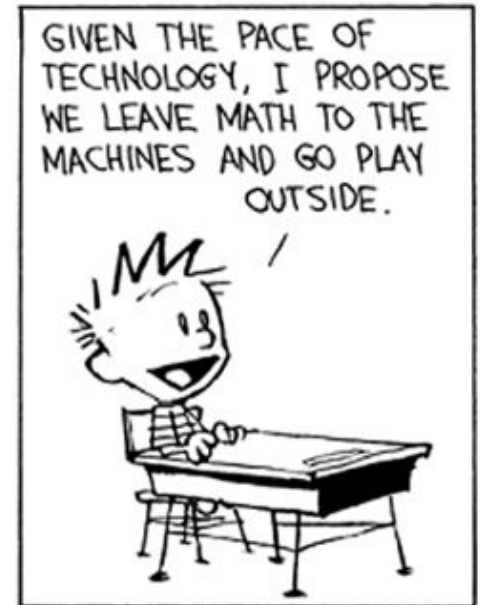
$$2 \log(\varepsilon_v d) + \log \binom{d}{\varepsilon_v d}$$

$$\varepsilon_v d \log \Delta + (1 - \varepsilon_v) d \log((1 - \delta) \Delta)$$

Semi-saturated / Critical	S.-sat. dest.	Crit. dest.	Crit. dest.	S.-sat. dest.	S.-sat. dest.	Crit. dest.
$\ell_v - d$	$\log(n/c)$	$\log c_{t_1}$	$\log c_{t_2}$	$\log(n/c)$	$\log(n/c)$	$\log c_{t_k}$

Open Problems

- Generalizing to non-dense expanders.
E.g., not clear if all nodes can achieve d connections if $\Delta = o(n)$ (if $\Delta = O(\log n)$, this happens w.h.p.).
- Extending analysis to non-regular graphs.
- Investigate robustness of RAES when nodes join or leave the network.



Thank You!