Finding a Bounded-Degree Expander

## Inside a Dense One HAL-02002377

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## Outline



## Graph Expansion I

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## Graph Expansion II

In regular graphs $\frac{e(S, V-S)}{\min \{\operatorname{vol}(S), v o l(V-S)\}}$ is equivalent to $\phi(S)=\frac{e(S, V-S)}{v o l(S)}$ assuming $S \leq \frac{n}{2}$

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Example:
In an Erős-Rényi graph $G_{n, p}$, include each edge with prob $p$.
For any $p \gg \frac{\log n}{n}$, they are good expanders with high probability.


## Expander Mixing Lemma

Expanders can be studied using linear algebra (Spectral Graph Theory)

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Lemma. For any subset $S$ of nodes of a $\Delta$-regular graph with 2nd-largest eigenvalue of adjecency matrix $\lambda$ :

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e(S, S) \leq|S|\left(\frac{|S|}{2} \frac{\Delta}{n}+\frac{\lambda}{2}\right)
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Proof.
$A$ adjacency matrix,
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\begin{gathered}
1_{S}^{T} A 1_{S} \\
2 e(S, S)-\frac{\Delta}{n}|S|^{2}
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& 2 e(S, S)-\frac{\Delta}{n}|S|^{2} \\
& =1_{S}^{T}\left(A-\frac{\Delta}{n} J\right) 1_{S} \\
& \leq \lambda\left\|1_{S}\right\|^{2} \stackrel{ }{=} \lambda|S|
\end{aligned}
$$

## Motivations for this Work I

Distributed construction of constant-degree expanders

Corollary of Marcus-Spielman-Srivastava proof's of the Kadison-Singer conjecture [Ann. of Math. '15]:



Every dense expander has a constant-degree subgraph which is also an expander.

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Corollary of Marcus-Spielman-Srivastava proof's of the Kadison-Singer conjecture [Ann. of Math. '15]:



Every dense expander has a constant-degree subgraph which is also an expander.

But the proof is non-constructive: How to find the low-degree sub-expander?

## Motivations for this Work II

Several works propose complicated distributed construction of expanders:

- Law and Siu [INFOCOM'03]: incremental construction using Hamiltonian cycles


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Several works propose complicated distributed construction of expanders:

- Law and Siu [INFOCOM'03]: incremental construction using Hamiltonian cycles
- Allen-Zhu et al. [SODA'16]: start with a $\Omega(\log n)$-regular graph and increase its expansion



## Bonus Motivations

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- Parallel algorithms for sparsifying a graph don't achieve sublogarithmic degree and assume weighted edges
- Model creation of overlay networks in protocols such as BitTorrent (P2P) or Bitcoin (distributed ledgers)

- Distributed construction of constant-degree graph implies constant-load balancing algorithm.
Previous works: almost-tight load balancing in poly time (Berenbrink et al., SPAA'14)



## Algorithm Request - Accept if Enough Space

Algorithm $\operatorname{RAES}(G, d, c)$ for each node $v$ :

- Set $d_{\text {out }}=0$ and assume connections are directed
- At the start of each round,
if $\left(d_{\text {out }}<d\right)$ then
send $d-d_{\text {out }}$ requests to random neighbors
- At the end of each round if (current requests + new ones $\leq c d$ ) then accept all request else
reject all current requests
if $\left(d_{o} u t=d\right)$ then
forget edge orientation

Example with $d=5$

$u$ is missing 2 connections.
$u$ asks to connect to $w$ and $v$.
$v$ has already $c d$ incoming connections and refuses $u$ 's requests.

## Our Result

> Theorem.
> For every $d \gg 1,0<\alpha \leq 1, c \gg \frac{1}{\alpha^{2}}$, and $\alpha n$-regular graph $G$, w.h.p.
> $\operatorname{RAES}(G, d, c)$ runs in $\mathcal{O}(\log n)$ parallel rounds with message complexity is $\mathcal{O}(n)$.
> Moreover, if $G$ 's 2nd-largest eigenvalue $\lambda$ of normalized adjacency matrix is $\leq \epsilon \alpha^{2}$, then w.h.p. $R A E S(G, d, c)$ creates a $\epsilon$-expander with degrees between $d$ and $d(c+1)$.

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Proof Technique: Encoding Argument
(omitted: message complexity using martingale theory)

## Encoding Arguments

Encoding Lemma.
If $X$ finite set and
$C: X \rightarrow\{0,1\}^{*}$ a (partial \& prefix-free) encoding of $X$ then


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\operatorname{Pr}_{x \sim U n i f(X)}(|C(x)| \leq \log |X|-s) \leq 2^{-s}
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Proof. $\frac{2^{\log |X|-s}}{|X|} \leq 2^{-s}$.

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Suggested reading: P. Morin et al. Encoding Arguments, ACM Comp. Surveys '17.

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Call $B$ a bad substring of $\log n+s$ consecutive heads.
Consider encoding $C_{B}$ for strings containing $B$ :
$\left(\begin{array}{l}\text { index } i \text { of first } \\ \text { bit of } B\end{array}\right.$
$\log n$ bits
all other bits of the string except those at , entry $i, i+1, \ldots, i+\log n+s$
$n-(\log n+s)$ bits

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n-(\log n+s) \text { bits }
$$

By the Encoding Lemma
$\operatorname{Pr}\left(\left|C_{B}(x)\right| \leq \log |X|-s\right)=\operatorname{Pr}\left(\left|C_{B}(x)\right| \leq n-s\right) \leq 2^{-s}$

## Encoding Arg. for Running Time (Warm Up)

Implementation: ${ }^{v_{1}}$
For each node $\quad v_{2}$ $v_{i}$, array of $d T \quad v_{3}$ entries of $\log \Delta$ bits

If RAES doesn't terminate in
$O(\log n)$ rounds there exist node $v$ with a rejected $v_{n}$ request at each round

|  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

$d T$ slots of $\log \Delta$ random bits

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- position of $v$ 's accepted requests in $\ell_{v}: \log \binom{\ell_{v}}{d^{\prime}}$


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- destinations of accepted requests: $d^{\prime} \log \Delta$


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After calculations we see that we save $\frac{1}{2} \ell_{v} \log (\alpha c)-\log n=\Omega(\log n)$

## Encoding Argument for Expansion

Implementation: $v_{1}$
For each node $v_{2}$ $v_{i}$, array of $d T \quad v_{3}$ entries of $\log \Delta$ bits

We show that if the execution results in a non-expander, then it can be represented with

|  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  | $n d t \log \Delta-$ $\Omega(\log n)$ bits


$d T$ slots of $\log \Delta$ random bits

## Compressing the Non-Expanding Set

## Encoding:

- Randomness of $V-S$
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- Accepted connections from $S$ to

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V-S: \sum_{v \in S} 2 \log \left(\epsilon_{v} d\right)+\log \binom{d}{\epsilon_{v} d}
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- Destinations of connections from $S$ :

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& \sum_{v \in S}\left(1-\epsilon_{v}\right) d \log \left(\left(1-\delta_{v}\right) \Delta\right)+\sum_{v \in S} \epsilon_{v} d \log \Delta \\
& \text { connections to } S
\end{aligned}
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- Set $S: \log |S|+\log \binom{n}{s}$
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\sum_{v \in S} 2 \log \ell_{v}+\log \binom{\ell_{v}}{d}
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- Accepted connections from $S$ to $V-S: \sum_{v \in S} 2 \log \left(\epsilon_{v} d\right)+\log \binom{d}{\epsilon_{v} d}$
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$\sum_{v \in S}\left(1-\epsilon_{v}\right) d \log \left(\left(1-\delta_{v}\right) \Delta\right)+\sum_{v \in S} \epsilon_{v} d \log \Delta$ connections to $S$
- Rejected requests
- Unused randomness (after node's termination)


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- Destinations of connections from $S$ :
$\sum_{v \in S}\left(1-\epsilon_{v}\right) d \log \left(\left(1-\delta_{v}\right) \Delta\right)+\sum_{v \in S} \epsilon_{v} d \log \Delta$
connections to $S$
connections to $V-S$ (uncompressed)
- Rejected requests
- Unused randomness (after node's termination)
$\delta_{v}$ : fraction of $v$ 's edges towards $V-S$ in $G$


## Compressing Accepted Connections I

To represent accepted requests from $S$ we need

$$
\begin{gathered}
\sum_{v \in S}\left(1-\epsilon_{v}\right) d \log \left(\left(1-\delta_{v}\right) \Delta\right)+\sum_{v \in S} \epsilon_{v} d \log \Delta \\
\leq s d \log \Delta-\frac{1-\epsilon}{2} s d \log \frac{n}{s}+2 \epsilon d s
\end{gathered}
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where $\epsilon=\frac{1}{s} \sum_{v \in S} \epsilon_{v}$

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where $\epsilon=\frac{1}{s} \sum_{v \in S} \epsilon_{v}$
With simple calculations
$s d \log \Delta-\left(\sum_{v \in S}\left(1-\epsilon_{v}\right) d \log \left(\left(1-\delta_{v}\right) \Delta\right)+\sum_{v \in S} \epsilon_{v} d \log \Delta\right)$
$\geq d \sum_{v \in S}\left(1-\epsilon_{v}\right) \log \frac{1}{1-\delta_{v}}$

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Two cases: $s<\alpha \Delta$ and $\alpha \Delta \leq s \leq \frac{n}{2} \ldots$

## Compressing Accepted Connections II

Goal: bound $d \sum_{v \in S}\left(1-\epsilon_{v}\right) \log \frac{1}{1-\delta_{v}}$
Case $s<\alpha \Delta$
Use $\Delta\left(1-\delta_{v}\right) \leq s$ and $\left(\frac{\Delta}{s}\right)^{2}>\frac{\Delta}{s} \frac{1}{\alpha}=\frac{\Delta}{s} \frac{n}{\Delta}=\frac{n}{s}$
hence $d \sum_{v \in S}\left(1-\epsilon_{v}\right) \log \frac{1}{1-\delta_{v}}>\frac{1-\epsilon}{2} s d \log \frac{n}{s}$

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hence $d \sum_{v \in S}\left(1-\epsilon_{v}\right) \log \frac{1}{1-\delta_{v}}>\frac{1-\epsilon}{2} s d \log \frac{n}{s}$
Case $\alpha \Delta \leq s \leq \frac{n}{2}$
Rewrite $-(1-\epsilon) s d \sum_{v \in S} \frac{1-\epsilon_{v}}{(1-\epsilon) s} \log \frac{1}{1-\delta_{v}}$
use Jensen's inequality to get $(1-\epsilon) s d \log \frac{1-\epsilon}{1-\delta}$

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Case $s<\alpha \Delta$
Use $\Delta\left(1-\delta_{v}\right) \leq s$ and $\left(\frac{\Delta}{s}\right)^{2}>\frac{\Delta}{s} \frac{1}{\alpha}=\frac{\Delta}{s} \frac{n}{\Delta}=\frac{n}{s}$
hence $d \sum_{v \in S}\left(1-\epsilon_{v}\right) \log \frac{1}{1-\delta_{v}}>\frac{1-\epsilon}{2} s d \log \frac{n}{s}$
Case $\alpha \Delta \leq s \leq \frac{n}{2}$
Rewrite $-(1-\epsilon) s d \sum_{v \in S} \frac{1-\epsilon_{v}}{(1-\epsilon) s} \log \frac{1}{1-\delta_{v}}$
use Jensen's inequality to get $(1-\epsilon) s d \log \frac{1-\epsilon}{1-\delta}$
To bound $1-\delta$ we use the Expander Mixing Lemma:

$$
(1-\delta) \leq \frac{s}{n}+\lambda
$$

## Compressing Accepted Connections II

Goal: bound $d \sum_{v \in S}\left(1-\epsilon_{v}\right) \log \frac{1}{1-\delta_{v}}$
Case $s<\alpha \Delta$
Use $\Delta\left(1-\delta_{v}\right) \leq s$ and $\left(\frac{\Delta}{s}\right)^{2}>\frac{\Delta}{s} \frac{1}{\alpha}=\frac{\Delta}{s} \frac{n}{\Delta}=\frac{n}{s}$
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Rewrite $-(1-\epsilon) s d \sum_{v \in S} \frac{1-\epsilon_{v}}{(1-\epsilon) s} \log \frac{1}{1-\delta_{v}}$
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To bound $1-\delta$ we use the Expander Mixing Lemma:

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(1-\delta) \leq \frac{s}{n}+\lambda
$$

together with hypothesis on $s$ and $\lambda$, it implies

$$
(1-\epsilon) s d \log \frac{1-\epsilon}{1-\delta}>(1-\epsilon) s d \log \frac{n}{s}-2 \epsilon d s
$$

## Compressing the Non-Expanding Set

## Encoding:

- Randomness of $V-S$
- Set $S: \log |S|+\log \binom{n}{s}$
- Accepted connections:

$$
\sum_{v \in S} 2 \log \ell_{v}+\log \binom{\ell_{v}}{d}
$$



- Accepted connections from $S$ to
$V-S: \sum_{v \in S} 2 \log \left(\epsilon_{v} d\right)+\log \binom{d}{\epsilon_{v} d}$
$\epsilon_{v}$ : fraction of $v$ 's accepted connections towards $V-S$
- Destinations of connections from $S$ :

$$
\sum_{v \in S}\left(1-\epsilon_{v}\right) d \log \left(\left(1-\delta_{v}\right) \Delta\right)+\sum_{v \in S} \epsilon_{v} d \log \Delta
$$

- Rejected requests
- Unused randomness
(after node's termination)


## Compressing Rejected Requests (Idea)

With $\ell_{v}-d^{\prime}$ bits we encode which requests are rejected.
The hard part is compressing their destinations, for which we use the following notions:

Semi-saturated nodes $s s_{t}$ : accepted connections until time $t-1+$ requests from $V-S$ are $>\frac{d c}{2}$
Critical nodes $c_{t}$ : not semi-saturated at time $t$ but accepted + rejected connections are $>c d$

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Claim. semi-saturated nodes $\leq \frac{n}{2 n}$ and critical nodes $\leq \frac{n}{c}$.
We can then write

$$
s s(v) \log \frac{2 n}{c}+\sum_{1}^{T} r c_{t}(v) \log c_{t}
$$

Where $\operatorname{rss}(v)$ is the number of rejected connections from $v$ to semisaturated nodes and $r c_{t}(v)$ is the number of rejected connections from $v$ to critical nodes at time $t$

## Compression Summary

Set $S$

| Size | Index of the set |
| :---: | :---: |
| $2 \log \|S\|+\log \binom{n}{\|S\|}$ |  |

Nodes in $V \backslash S$


9 bronze badges

| Subset of | Subset of | Destinations of | Destinations of |
| :---: | :---: | :---: | :---: |
| accepted requests | accepted requests in $S$ | accepted requests <br> ouside $S$ (uncompressed) + <br> + inside $S$ (compressed) | rejected requests |

$2 \log \ell_{v}+\log \binom{\ell_{v}}{d} \quad 2 \log \left(\varepsilon_{v} d\right)+\log \binom{d}{\varepsilon_{v} d} \quad \begin{aligned} & \varepsilon_{v} d \log \Delta+ \\ & +\left(1-\varepsilon_{v}\right) d \log ((1-\delta) \Delta)\end{aligned}$

| Semi-satured / Critical | S.-sat. <br> dest. | Crit. <br> dest. | Crit. <br> dest. | S.-sat. <br> dest. | S.-sat. <br> dest. | Crit. <br> dest. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\ell_{v}-d$ | $\log (n / c)$ | $\log c_{t_{1}}$ | $\log c_{t_{2}}$ | $\log (n / c)$ | $\log (n / c) \log c_{t_{k}}$ |  |

## Open Problems

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- Generalizing to non-dense expanders. E.g., not clear if all nodes can achieve $d$ connections if $\Delta=o(n)$ (if $\Delta=O(\log n)$, this happens w.h.p.)
- Extending analysis to non-regular graphs.
- Investigate robustness of RAES when nodes join or leave the network.



## Thank You!

